



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application Number

10/051,173, US Pat No 6,680,391

Filing Date

01/22/02 ; Issued 01/20/04

First Named Inventor

Amala Raman

Art Unit

1614

Examiner Name

Kim, Vickie Y.

Attorney Docket Number

065335-147271

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<b>Remarks</b>	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	Please change the Attorney Docket No. to 065335-147271	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	SCHWABE, WILLIAMSON & WYATT, P.C.		
Signature			
Printed name	Steven J. Prewitt		
Date	05/24/2006	Reg. No.	45023

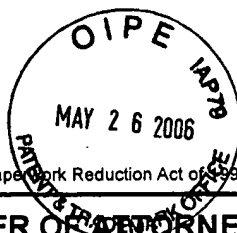
**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Heather L. Adamson	Date	05/24/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/80 (01-06)

Approved for use through 12/31/2008. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number:

0025943

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer Number:

0025943

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone			Email

Assignee Name and Address:

Oregon Health & Science University  
2525 SW 1st Ave, Suite 120  
Portland, OR 97201-4753

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

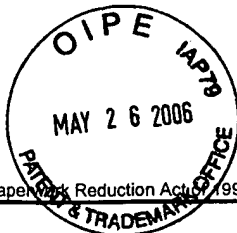
### SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	May 17, 2006
Name	Arundeeep S. Pradhan	Telephone	503-494-8200
Title	Director of Technology Research Collaborations		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Oregon Health & Science UniversityApplication No./Patent No./Control No.: 10/051,173 / 6,680,391 Filed/Issue Date: 01/22/02 / 01/20/04Entitled: TREATMENT OF SKIN CONDITIONS

Oregon Health & Science University, a university  
(Name of Assignee) (Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Inventors To: BTG International Limited  
The document was recorded in the United States Patent and Trademark Office at  
Reel 012730, Frame 0578, or for which a copy thereof is attached.
2. From: BTG International Limited To: Oregon Health & Science University  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

**As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.**

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Arundee S. Pradhan  
Signature

May 17, 2006  
Date

Arundee S. Pradhan  
Printed or Typed Name

503-494-8200  
Telephone Number

Director of Technology Research Collaborations  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

9TH JANUARY 2006

ns  
to

This Assignment is made as a deed on ~~November 2005~~ between:

- (1) **BTG International Limited** whose company registration number in England and Wales is 2664412 and whose registered address is at 10 Fleet Place, Limeburner Lane, London, EC4M 7SB, England ("BTG"), and
- (2) **Oregon Health & Science University** an Oregon independent public company ("OHSU") having offices at 2525 SW 1st Ave, Suite 120 Portland, Oregon 97201-4753

**Whereas:-**

- A. BTG is the proprietor and/or beneficial owner of the patent applications and associated know-how, details of which are set out in the Schedule to this Agreement, (all such patent applications and know-how, are herein together called the "IPR").
- B. Pursuant to a Technology Transfer Agreement (the "Technology Transfer Agreement") made between the King's College London and the National Research Development Corporation (the statutory predecessor of BTG) and dated 23<sup>rd</sup> May 1988 BTG has agreed that the IPR shall be assigned to Oregon Health & Science University on the terms hereinafter set forth.

**Now it is hereby agreed as follows:-**

1. BTG hereby assigns to OHSU all its right, title, and interest in the IPR.
2. BTG shall after the date hereof keep the know-how confidential and not disclose the know-how to any third party orally or in writing, save to the extent that the know-how:
  - (1) was already lawfully known to BTG at the time of receipt (as evidenced by its written records); or

- (2) was at the time of receipt or becomes thereafter generally available to third parties (otherwise than by breach by BTG of its obligations hereunder); or
  - (3) is received by BTG from a third party not in breach of any obligation of confidentiality; or
  - (4) is required to be disclosed by law, an order of a court of competent jurisdiction or other tribunal, government regulation or a regulator competent to require such disclosure.
3. In consideration for the assignment OHSU shall pay BTG (within 30 days of this assignment) the sum of ~~£6530.53~~ in respect of reimbursement of certain of BTG's patent costs in relation to the IPR. £ 536.99
4. This Agreement shall be read and construed in accordance with, and governed by, English law, and the parties submit to the jurisdiction of the English Courts.

In witness whereof this document has been executed and delivered as a deed on the date first written above.

THE SCHEDULE above referred to

The IPR

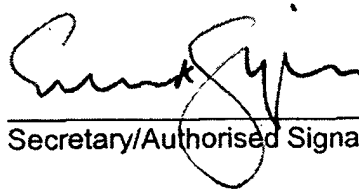
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BTG Family No.		141151		
Country	Filing number	Filing date	Grant number	Grant date
AUSTRALIA	99/49209	13-Jul-99	757053	15-May-03
BELGIUM	99933032.7	13-Jul-99	1094813	10-Sep-03
CANADA	2337205	13-Jul-99		
SWITZERLAND	99933032.7	13-Jul-99	1094813	10-Sep-03
CHINA	99810839.1	13-Jul-99		
CYPRUS [REPUBLIC]	99933032.7	13-Jul-99	1094813	10-Sep-03
GERMANY	69911210.9	13-Jul-99	1094813	10-Sep-03
SPAIN	99933032.7	13-Jul-99	1094813	10-Sep-03
FRANCE	99933032.7	13-Jul-99	1094813	10-Sep-03
UNITED KINGDOM	99933032.7	13-Jul-99	1094813	10-Sep-03
GREECE	99933032.7	13-Jul-99	1094813	10-Sep-03
HONG KONG	01103582.7	23-May-01	HK1033264	16-Jul-04
IRELAND	99933032.7	13-Jul-99	1094813	10-Sep-03
ITALY	99933032.7	13-Jul-99	1094813	10-Sep-03
JAPAN	2000-558804	13-Jul-99		
SOUTH KOREA	2001-7000515	13-Jul-99		
MEXICO	2001/000444	13-Jul-99	219346	10-Mar-04
NETHERLANDS	99933032.7	13-Jul-99	1094813	10-Sep-03
USA	09/759137	13-Jul-99	6346539	12-Feb-02
USA	10/051173	22-Jan-02	6680391	20-Jan-04
USA	10/630901	31-Jul-03		
SOUTH AFRICA	2000/4260	13-Jul-99	2000/4260	25-Jul-01

BTG Internal Title		TREATMENT FOR SKIN CONDITIONS		
BTG Family No.		142964		
Country	Filing number	Filing date	Grant number	Grant date
AUSTRALIA	2002219386	15-Jan-02		
CANADA	2434775	15-Jan-02		
CHINA	02803756.1	15-Jan-02		
EPC	02732137.1	15-Jan-02		
HONG KONG	04106145.7	17-Aug-04		
JAPAN	2002-557939	15-Jan-02		
SOUTH KOREA	2003-7009506	15-Jan-02		
MEXICO	PA/A/2003/006324	15-Jan-03		
USA	10/466495	15-Jan-02		
SOUTH AFRICA	2003/5432	15-Jan-02	2003/5432	15-Oct-04

Executed and delivered  
as a Deed by BTG International  
Limited acting by:

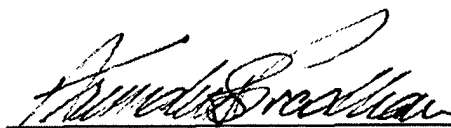


Director/Authorised Signatory



Secretary/Authorised Signatory

Executed and delivered  
as a Deed by Oregon  
Health & Science University  
acting by:



Authorised Signatory

Authorised Signatory